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Assistant Commissioner for Patents
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LALITA P WILLIAM
BRINKS HOFER GILSON AND LIONE
NBC TOWER SUITE 3600
P O BOX 10395
CHICAGO IL 10395

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Certificate of Mailing

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Jonathan E. Retsky - Reg. No. 34,415
(Depositor's name)

(Signature)

(Date)

APPLICATION NO.	FILING DATE	TOTAL CLAIMS	EXAMINER AND GROUP ART UNIT	DATE MAILED
00/868,200	06/03/97	028	PATEL, J	7/65 05/12/99
First Named Applicant: GARBER, 35 USC 154(b) term ext. = 0 Days				

TITLE OF INVENTION

SYSTEM AND METHOD FOR TRADING HAVING A PRINCIPAL MARKET MAKER

ATTY'S DOCKET NO.	CLASS-SUBCLASS	BATCH NO.	APPLN. TYPE	SMALL ENTITY	FEE DUE	DATE DUE
2 8406/4	/05-037,000	R67	UTILITY	YES	\$605.00	07/12/99

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). Use of PTO form(s) and Customer Number are recommended, but not required.

☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.

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2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 BRINKS HOFER

2 GILSON & LIONE

3

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. Inclusion of assignee data is only appropriate when an assignment has been previously submitted to the PTO or is being submitted under separate cover. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY & STATE OR COUNTRY)

Please check the appropriate assignee category indicated below (will not be printed on the patent)

☐ Individual ☐ corporation or other private group entity ☐ government

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☒ Issue Fee☒ Advance Order - # of Copies 10

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The COMMISSIONER OF PATENTS AND TRADEMARKS IS requested to apply the Issue Fee to the application identified above.

(Authorized Signature)

(Date)

7/8/99

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